

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



S&H Form: (2/01)

Docket No.: 1185.1047

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hiroshi Yamazaki et al.

Serial No. 09/330,016

Group Art Unit: 2871

Confirmation No. 8878

Filed: June 11, 1999

Examiner: Dung Nguyen

For: SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE, LIQUID CRYSTAL
DISPLAY AND GUIDE PLATE

REQUEST FOR COMPLETE OFFICE ACTION AND RESET OF RESPONSE DUE DATE

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The Office Action mailed on April 8, 2004 is defective in that the Examiner ignores the arguments advanced previously. In item 6, on page 4 of the Office Action, the Examiner states, "It should be noted that Applicants' amendment after final filed 12/12/2003 has not entered; it has been placed in the application file, but it has not been considered." Because no Amendment was filed on December 12, 2003, Applicants presume the Examiner is referring to the December 3, 2003 Amendment.

The Examiner is referred to his Advisory Action dated December 29, 2003. In the Advisory Action, the Examiner failed to mark box 2, which indicates that the Amendment will not be entered. Instead, the Examiner marked box 5, which indicates that the request for reconsideration has been considered. The Examiner also marked box 7, which indicates that Proposed Amendment will be entered for the purposes of appeal. The Advisory Action therefore conveys that the Amendment has been entered and a complete response from the Examiner would be provided if a Request for Continued Examination (RCE) was filed. Applicants proceeded on this basis. Because of the Advisory Action, Applicants filed an additional independent claim together with the RCE. Further, Applicants did not request entry of the December 12, 2003 Amendment: according to the Examiner, it had already been entered.

The April 8, 2004 Office Action is defective because it does not consider the December 12, 2003 Amendment. Specifically, the Office Action erroneously indicates that U.S. Patent No.

5,887,964 to Wortman et al. discloses a lightguide plate having an emission face with areas having different degrees of roughness. This is not correct, as explained in the December 12, 2003 Amendment (copy enclosed).

Applicants respectfully request a corrected Office Action with a reset response due date. Applicants submit that the corrected Office Action should be a Notice of Allowance.

If there are any questions regarding this request, such questions can be addressed by telephone to the undersigned.

Respectfully submitted,

STAAS & HALSEY LLP

Date: May 21, 2004

By: Mark J. Henry
Mark J. Henry
Registration No. 36,162

1201 New York Ave, N.W., Suite 700
Washington, D.C. 20005
Telephone: (202) 434-1500
Facsimile: (202) 434-1501

S&H Form: (10/03)
Docket No.: 1185.1047

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hiroshi Yamazaki et al.

Serial No. 09/330,016

Group Art Unit: 2871

Confirmation No. 8878

Filed: June 11, 1999

Examiner: Dung Nguyen

For: SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE, LIQUID CRYSTAL
DISPLAY AND GUIDE PLATE

**NOTICE OF APPEAL FROM THE PRIMARY EXAMINER
TO THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Attention: After Final

Sir:

Applicant hereby appeals to the Board from the decision of the Primary Examiner mailed June 3, 2003 finally rejecting claims 1-9.

The items checked below are appropriate:

1. EXTENSION OF TIME PETITION AND FEE

X Attached is a petition for a three-month extension of time for reply to the final rejection. \$ 950.00

2. APPEAL FEE

X Other than a small entity 330.00

TOTAL FEE \$1,280.00

3. PAYMENT

- Check attached for the total fee of \$___.
 Charge \$1,280.00 Account 19-3935 for any fee deficiency.

Respectfully submitted,

STAAS & HALSEY LLP

Dated: Dec 3, 2003

By: 
Mark J. Henry
Registration No. 36,162

1201 New York Ave, N.W., Suite 700
Washington, D.C. 20005
Telephone: (202) 434-1500
Facsimile: (202) 434-1501

Certificate Under 37 CFR § 1.8(a)

I hereby certify that this document is being
faxed to the Examiner on December 3, 2003,
at 703-3050-7726.

Date: Dec 3 2003

Transmitted by: Mark J. Henry
Mark J. Henry



P. 1

** Transmission Result Report (Dec. 4, 2003 12:01AM) **

T T I STAAS & HALSEY 202 434 1501

File	Mode	Option	Address (Group)	Result	Page
3056	SAF_TX		7033087726	OK	P. 11/11

COPY

Reason for Err
1) Hang up or line fail
3) No answer

2) Busy
4) No facsimile connection

**LAW OFFICES
STAAS & HALSEY LLP**

Telephone
(202) 434-1500

1201 New York Avenue, N.W.
Suite 700
Washington, D.C. 20005

Facsimile
(202) 434-1501

FACSIMILE TRANSMISSION

December 3, 2003

TO: USPTO

ATTN: Examiner Dung T. Nguyen

FAX NO.: (703)308-7726

TELEPHONE: (703)305-0423

FROM: Mark J. Henry

RE: Serial No. 09/330,016

OUR DOCKET: 1185.1047



**LAW OFFICES
STAAS & HALSEY LLP**

Telephone
(202) 434-1500

1201 New York Avenue, N.W.
Suite 700
Washington, D.C. 20005

Facsimile
(202) 434-1501

FACSIMILE TRANSMISSION

December 3, 2003

TO : USPTO

ATTN: Examiner Dung T. Nguyen

FAX NO.: (703)308-7726

TELEPHONE: (703)305-0423

FROM: Mark J. Henry

RE: Serial No. 09/330,016

OUR DOCKET: 1185.1047

NO. OF PAGES (Including this Cover Sheet)

PRIVILEGED & CONFIDENTIAL

The information contained in this communication is confidential, may be attorney-client privileged, and is intended only for the use of the addressee(s). Unauthorized use, disclosure or copying is strictly prohibited. If there are any problems with this transmission, please contact us immediately.

COMMENTS:



S&H Form: (10/03)

REPLY/AMENDMENT FEE TRANSMITTAL

		Attorney Docket No.	1185.1047		
		Application Number	09/330,016		
		Filing Date	June 11, 1999		
		First Named Inventor	Hiroshi Yamazaki et al.		
		Group Art Unit	2871		
AMOUNT ENCLOSED		Examiner Name	Dung Nguyen		

FEE CALCULATION (fees effective 10/01/03)

CLAIMS AS AMENDED	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Rate	Calculations
TOTAL CLAIMS	9	- 20 =	0	X \$ 18.00 =	\$ 0.00
INDEPENDENT CLAIMS	3	- 3 =	0	X \$ 86.00 =	0.00
Since an Official Action set an original due date of September 3, 2003, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110); 2 months (\$420); 3 months (\$950); 4 months (\$1,480); 5 months (\$2,010)):					950.00
If Notice of Appeal is enclosed, add (\$320.00)					330.00
If Statutory Disclaimer under Rule 20(d) is enclosed, add fee (\$110.00)					
Information Disclosure Statement (Rule 1.17(p)) (\$180.00)					
Total of above Calculations =					\$ 1280.00
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28)					
TOTAL FEES DUE =					\$ 1280.00

- (1) If entry (1) is less than entry (2), entry (3) is "0".
- (2) If entry (2) is less than 20, change entry (2) to "20".
- (4) If entry (4) is less than entry (5), entry (6) is "0".
- (5) If entry (5) is less than 3, change entry (5) to "3".

METHOD OF PAYMENT

- Check enclosed as payment.
- Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION

- If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.	19-3935
Deposit Account Name	STAAS & HALSEY LLP
- The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Mark J. Henry	Reg. No.	36,162
Signature		Date	06/3/2003

©2003 Staas & Halsey LLP